

ANNEX 5 - SPECIFIC RULES

1. MAXIMUM GRANT AMOUNT (— ARTICLE 5.2)

1.1 GRANT INCREASE DUE TO REDISTRIBUTION OF FUNDS

In the framework of redistribution of funds in higher education mobility, or in the event of additional funds becoming available to the National Agency for (re)allocation to the beneficiaries, the total maximum grant amount indicated in Article 5.2 may be increased through amendment in line with Article 39 provided that:

at periodic report stage a higher number of mobility activities or planned blended intensive programmes, a higher number of participants in blended intensive programmes, more expensive mobility activities, or longer duration of mobility activities (including invited staff from enterprises when applicable) has taken place or is foreseen to take place. The criteria according to which the additional funds may be provided are the following:

If the amount reported in a periodic report is higher than the awarded grant amount.

1.2. GRANT DECREASE DUE TO LOW NUMBER OF MOBILITY ACTIVITIES IMPLEMENTED

When the periodic or progress report shows a very low number of mobility activities, indicating that the beneficiary will not fully implement the awarded grant, the total maximum grant amount indicated in Article 5.2 may be decreased through an amendment in line with Article 39.

1.3. GRANT INCREASE FOR INCLUSION SUPPORT AND EXCEPTIONAL COSTS

As inclusion support costs and exceptional costs cannot be included in the initial budget at application stage due to their ad-hoc nature, the beneficiary may request through amendment additional grant support once the participants with fewer opportunities or higher travel costs have been selected. Such inclusion support may be provided by the National Agency for participants with fewer opportunities and their organisation or in the case of exceptional costs as specified in Annex 2 and Annex 3.

2. BUDGET FLEXIBILITY (— ARTICLE 5.5)

With regard to Article 5.5, an amendment is required for any budget transfers to the budget category *Organisational support (for mobility activities and for blended intensive programmes)*.

With regard to Article 5.5, an amendment is required if budget transfers from any budget category of *Student mobility* to any other budget category of *Staff mobility*, including real-cost budget categories, exceed 10% of the total funds in that budget category.

5. INCLUSION SUPPORT FOR PARTICIPANTS

For participants with fewer opportunities, the beneficiary will ensure that, when possible, the inclusion support or the top-up for fewer opportunities] is pre-financed in order to facilitate the participation in the activities.

6. DATA PROTECTION (— ARTICLE 15)

6.1 REPORTING ON COMPLIANCE WITH DATA PROTECTION OBLIGATIONS

The beneficiaries will report in the final report on the measures put in place for ensuring compliance of its data processing operations with the Regulation 2018/1725, in line with the obligations established in the Article 15 at least on the following topics: security of processing, confidentiality of the processing, assistance to the data controller, data retention, contribution to audits, including inspections, establishment of personal data records of all categories of processing activities carried out on behalf of the controller.

6.2 INFORMING THE PARTICIPANTS ON THE PROCESSING OF THEIR PERSONAL DATA

The beneficiaries will provide the participants with the relevant privacy statement for the processing of their personal data before these are encoded in the electronic systems for managing the Erasmus+ mobilities.

7. INTELLECTUAL PROPERTY RIGHTS (IPR) — BACKGROUND AND RESULTS — ACCESS RIGHTS AND RIGHTS OF USE (— ARTICLE 16)

7.1 LIST OF BACKGROUND

The beneficiaries must, where industrial and intellectual property rights (including rights of third parties) exist prior to the Agreement, establish a list of these pre-existing industrial and intellectual property rights, specifying the rights owners.

The coordinator must — before starting the action — submit this list to the granting authority.

7.2 EDUCATION MATERIALS

If the beneficiaries produce educational materials under the scope of the project, such materials must be made available through the Internet, free of charge and under open licenses¹. The beneficiaries must ensure that the website address used is valid and up to date. If the website hosting is discontinued the beneficiaries must remove the website from the Organisation Registration System to avoid the risk that the domain is taken over by another party and redirected to other websites.

¹ Open licence – a way by which the owner of a work grants permission to others to use the resource. A license is associated to each resource. There are different open licences according to the extent of the permissions granted or the limitations imposed and the beneficiary is free to choose the specific license to apply to their work. An open licence must be associated to each resource produced. An open licence is not a transfer of copyrights or Intellectual Property Rights (IPR).

8. COMMUNICATION, DISSEMINATION AND VISIBILITY (— ARTICLE 17.4)

The beneficiaries must acknowledge the support received under the Erasmus+ programme in all communication and promotional materials, including on websites and social media.

The guidelines on visual identity for the beneficiary and other third parties are available at:

https://commission.europa.eu/funding-tenders/managing-your-project/communicating-and-raising-eu-visibility_en

8.1 ERASMUS+ PROJECT RESULTS PLATFORM

If the project has produced results that can be shared, the beneficiary will make them available to the Erasmus+ Project Results Platform (<http://ec.europa.eu/programmes/erasmus-plus/projects>)

9. SPECIFIC RULES FOR CARRYING OUT THE ACTION (— ARTICLE 18)

9.1 EU RESTRICTIVE MEASURES

The beneficiaries must ensure that the EU grant does not benefit any associated partners, subcontractors or recipients of financial support to third parties that are subject to restrictive measures adopted under Article 29 of the Treaty on the European Union or Article 215 of the Treaty on the Functioning of the EU (TFEU).

9.2 OBLIGATORY INFORMATION SESSIONS AND TRAINING

The beneficiary must attend information sessions and trainings that the National Agency defines as obligatory.

10. REPORTING (— ARTICLE 21)

10.1 ERASMUS+ REPORTING AND MANAGEMENT TOOL

The coordinator must make use of the web-based reporting and management tool provided by the European Commission to record all information in relation to the activities undertaken under the project (including activities that were not directly supported with a grant from EU funds) and to complete and submit the final report, periodic report(s) and progress report(s) (if available in the Erasmus+ reporting and management tool and for the cases specified in Article 21.2). The beneficiary may not outsource the reporting task and may not provide access to the reporting and management tool to persons external to the beneficiary.

Activities must be encoded in the Erasmus+ reporting and management tool before their start date and reviewed once they are completed.

10.2 PERIODIC REPORT AND PROGRESS REPORT

The periodic and progress reports must include an overview of project implementation. In addition, periodic report must contain a financial statement.

The reports must be prepared using the template available in the Erasmus+ reporting and management tool, or alternatively provided by the National Agency (if any). By signing the report, the beneficiaries confirm that the information provided is complete, reliable and true.

10.3 FINAL REPORT

The final report must include an overview of project implementation and a financial statement.

The National Agency may request supporting documentation for any of the costs that the beneficiary declares in the final report.

10.4 ASSESSMENT OF THE FINAL REPORT

The beneficiary must submit the final report after the project end date.

The final report will be assessed in conjunction with the participant reports, using a common set of quality criteria focusing on:

- a) The extent to which the project was implemented in line with the grant agreement.
- b) The extent to which the project was implemented in respect of the quality and compliance requirements set out in the Erasmus Charter for Higher Education and the applicable inter-institutional agreement(s).
- c) The extent to which the grant amounts due to mobility participants were transferred to them in accordance with the contractual provisions set out in the agreement between the beneficiary and the participant following the templates provided in Annex 6 of the Agreement.

The final report will be scored on a total of maximum 100 points. If the National Agency considers that the implementation of the project does not respect the quality commitment undertaken by the beneficiary, the National Agency may in addition or alternatively require the beneficiary to develop and implement an action plan within an agreed timeframe to ensure respect of the applicable requirements. If the beneficiary does not implement the action plan in a satisfactory manner by the due date, the National Agency may recommend to the European Commission to withdraw the Erasmus Charter for Higher Education of the beneficiary.]

11. AMOUNT DUE (— ARTICLE 22.3)

If no further prefinancing payment is foreseen in the Data Sheet, the beneficiary may nonetheless request it without requesting an amendment to the grant agreement. The request must be duly justified and accompanied by a periodic report. The request may not exceed the 80% of the maximum grant amount specified in Point 4.2 of the Data sheet and may be made only once at least 70 % of the amount of the previous prefinancing payments have been implemented.

The beneficiary must ensure that the activities of the project for which the grant was awarded are eligible in accordance with the rules set out in the Erasmus+ Programme Guide and with this Agreement.

The National Agency will consider ineligible any activity or cost that is not compliant with the rules set out in the Erasmus+ Programme Guide and this Agreement. The grant amounts corresponding to those activities and costs will be recovered in full.

The beneficiary is allowed to use up to 20% of the latest awarded project grant as set out in the Data Sheet (see Point 3) to outbound student and staff mobility to third countries not associated to the Programme (the budget share for international mobility). The following budget categories are counted in this budget share:

- International student mobility grants: individual support and travel support
- International staff mobility grants: individual support and travel support
- Mobility organisational support: calculated on the number of international mobilities with the rate per international mobility being the project's average mobility organisational support per mobility
- Inclusion support for organisations

The real-cost budget categories as set out in Annex 1 of the Agreement ***Inclusion support for participants*** and ***Exceptional costs for expensive travel*** used for international mobilities are not taken into account in the calculation of the budget share for international mobility, neither as part of the total project grant nor as part of the reported international mobility funds.

12. CHECKS, REVIEWS, AUDITS AND INVESTIGATIONS (— ARTICLE 25)

For the purposes of Articles 21 and 25, the coordinator or the concerned beneficiaries must provide to the National Agency physical or electronic copies of supporting documents specified in Annex 2, unless the National Agency makes a request for originals to be delivered. The National Agency must return original supporting documents to the concerned beneficiary upon its analysis thereof. If the beneficiary is legally not authorised to send original documents, a copy of the supporting documents will be sent instead.

The project may be subject to internal checks and project reviews in the form of desk checks, on-the-spot checks or system checks. In this context, the beneficiary may be requested by the National Agency to provide additional supporting documents or evidence, other than those in Annex 2 and that are typically required for the type of check.

The beneficiary must enable the National Agency to verify the reality and eligibility of all project activities and participants by all documentary means (for example video and photographic records of the activities undertaken, interviews with staff and participants or any other documents proving the reality of activities) in order to rule out double funding or other irregularities.

12.1 DESK CHECK

Desk check is an in-depth check of supporting documents at the National Agency's premises that may be conducted at or after the final report stage. Upon request, the beneficiary must submit to the National Agency the supporting documents for all budget categories.

12.2 ON-THE-SPOT CHECKS

On-the-spot checks are performed by the National Agency at the premises of the beneficiary or at any other premises relevant for the execution of the project. During on-the-spot checks, the beneficiary must make original supporting documentation for all budget categories available for review by the National Agency, and must enable the National Agency access to the recording of project expenses in the beneficiary's accounts.

On-the-spot checks can take the following forms:

- a) **On-the-spot check during project implementation:** this check is undertaken during the implementation of the project in order for the National Agency to directly verify the reality and eligibility of all project activities and participants *[Option for HE KA171: and to establish compliance with the commitments undertaken as a result of the inter-institutional agreement(s)]*.
- b) **On-the-spot check after completion of the project:** this check is undertaken after the end of the project and usually after the verification of the final report.

12.3 SYSTEMS CHECK

The systems check is performed to establish the beneficiary's system for making its regular grant claims in the context of the Programme as well as its compliance with the commitments undertaken as a result of their accreditation. The systems check is performed to establish the beneficiary's compliance with the implementation standards committed to in the framework of the Erasmus+ Programme.

13. GRANT REDUCTION (— ARTICLE 28)

Poor, partial or late implementation of the project may be established by the National Agency based on the final report submitted by the beneficiary, or any other relevant source, including participant reports, monitoring visits, accreditation reports, desk checks or on-the-spot checks undertaken by the National Agency.

In line with the scoring procedure of the final report to be found in Article 9.4 of Annex 5, the National Agency may reduce the final grant amount for organisational support as follows:

- 10% if the final report scores at least 50 points and below 60 points;
- 25% if the final report scores at least 40 points and below 50 points;

- 50% if the final report scores at least 25 points and below 40 points;
- 75% if the final report scores at least 15 points and below 25 points;
- 100% if the final report scores below 15 points.

When a reduction for poor, partial or late implementation takes place, the reduction will apply on the maximum awarded amount or on the final awarded grant reported.

14. COMMUNICATION BETWEEN THE PARTIES (— ARTICLE 36)

Formal notifications on paper addressed to the granting authority must be sent to the address of the National Agency as set out in the Preamble.

Formal notifications on paper addressed to the beneficiaries must be sent to their legal address, as set out in the Preamble.

15. MONITORING AND EVALUATION OF ACCREDITATIONS

The National Agency and the Commission will monitor the correct implementation of the Erasmus Charter for Higher Education by the beneficiary and of the respect of the commitments defined in its inter-institutional agreements.

In case the monitoring reveals weaknesses, the beneficiary must establish and implement an action plan within the timeframe specified by the National Agency or the Commission. In the absence of adequate and timely remedial actions by the beneficiary, the National Agency may recommend to the Commission to suspend or withdraw the Erasmus Charter for Higher Education in accordance with the provisions set in the Charter.

16. ONLINE LANGUAGE SUPPORT (OLS)

The beneficiary must promote, monitor and support the use of language courses in the Online Language Support (OLS) platform.

The beneficiary must monitor the use of the OLS by participants, based on the information provided through the management tools, and report on the number of used language assessments and courses in their final reports, if statistics are available.

17. PROTECTION AND SAFETY OF PARTICIPANTS

The beneficiary will have in place effective procedures and arrangements to provide for the safety and protection of the participants in their project.

The beneficiary must ensure that insurance coverage is provided to participants involved in mobility activities.

The beneficiary must sign prior to departure grant agreements with participants stating, among others, the details of the activities (start and end date), financial support and payment and insurance arrangements.

19. ANY ADDITIONAL PROVISIONS REQUIRED BY THE NATIONAL LAW

The grant agreement is governed by Icelandic national law.